

ASP/2018

Scottish Conditions of Appointment of an Architect

Small Project Version





Small Project Version ASP/2018

Thank you for choosing to use this form of agreement.

As the professional body for Chartered Architects in Scotland, The Royal Incorporation of Architects in Scotland (RIAS) encourages the highest standards of practice for its members, to ensure a high quality of service to clients.

The RIAS recommends the use of a standard form of agreement, such as the Scottish Conditions of Appointment for an Architect – Small Project Version (ASP/2018) in the interests of both client and architect. The form helps ensure that the key aspects of the services to be provided and the responsibilities of each party are agreed at the beginning of a project, while allowing the agreement to be tailored to meet the specific requirements of each appointment.

The architectural profession is regulated by the Architects' Registration Board (ARB) and, as a further commitment to consumer protection, the RIAS Code of Professional Conduct is aligned with that of the ARB.

Architects are subject to the disciplinary sanction of the Board in relation to complaints of unacceptable professional conduct or serious professional incompetence.

A copy of the written procedure for the handling of such complaints, in accordance with the ARB Code, can be provided to you by the Architect upon request. In the unlikely case of a dispute arising under the contract, you should be aware that details of approved alternative dispute resolution providers can be found through the Trading Standards Institute at www.tradingstandards.uk

Useful contacts:

RIAS ARB

15 Rutland Square 8 Weymouth Street

Edinburgh London EH1 2BE W1N 3FB

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info@rias.org.uk

The RIAS gratefully acknowledges the contribution of the Edinburgh Chartered Architects Network (ECAN) in the preparation of ASP/2018.

PART

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_		Project Ref No
Agreement		
between	at	
1 The ARCHITECT	4 The SITE Address	
Name, address and contact details		
	in conjunction with	
and	5 The CONSULTANTS and SPEC	CIALISTS
2 The CLIENT		ultants, specialist designers and
Name, address and contact details	suppliers have been/will be ma	de by the cheft as follows.
	subject to	
for the project	•	TIMETABLE, SITE VISITS AND
3 The PROJECT DESCRIPTION	•	OF PAYMENT, AND CONDITIONS
	as noted in parts 2a, 2b, 2c and	3
	with 7 LEAD CONSULTANT	
	The Lead Consultant will be	
	8 PRINCIPAL DESIGNER The Principal Designer will be	
This agreement shall be governed by and construed in accordance with	the law of Scotland.	
In order to confirm acceptance of this form and the documents, or parts properly set out the agreement between the parties, the Client should r of acceptance set out below, duly signed. Attached are copies of the Sc Inspections, Terms of Payment and the Conditions of Appointment. Cop	eturn to the Architect, the duplicate c hedule of Services, Schedule of Fees	opy of Agreement, with the docket s, Project Timetable, Site Visits and
Signed Signed	(for and on behalf of the Architect)	Date///
(Print name and practice name)		
I confirm that I have adequate and appropriate Professional Indemn	ity insurance cover as specified by the	e Architect Registration Board.
I/We (the undersigned) as Client under the Appointment hereby accep	ot your offer to act as the Architect in	n relation to the Project, providing
the Services subject to and in terms of the Conditions attached. We und	dertake to fulfil the obligations of the	Client including, without limitation,
payment of the fees as set out. Other than, and only to the extent that, su		= -
within the Appointment or in a document expressly incorporated into t		
Appointment or in the said incorporated document, nothing in this App terms on any person who is not a party to it.	iointment confers or is intended to co	onier any right to enforce any of its
The signatory below shall be the sole nominated person in terms of Clau	use 1.3.1 of the Conditions.	
Signed A Swith	(for and on behalf of the Client)	Date 7 / 7 / 2025
(Print name and organisation (if applicable)) Andrew Smit	th, Troon Men's Shed	

Compliance with consumer right to cancel. See page 10.

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Schedule of Services

Work Stages 1.0 – 16.0 describe all the services available. The Services included may not always proceed in the order or sequence shown. Each should be authorised by the Client before proceeding. Services additional to those listed below should only be undertaken by prior agreement in writing. The appointment, service or fees of any other consultant, supplier, contractor or subcontractor shall be separate to this appointment. The Architect shall be the Lead Consultant unless otherwise agreed in writing. The Architect may sub-contract such parts of the Architect's work as the Architect deems necessary subject to condition 1.4.2. Services not required must be struck out.

Preparing the Design

Work Stage 1.0 - Preparation of the Brief

- 1.1 Ascertain Client Requirements
- 1.2 Obtain Site information from Client
- 1.3 Advise Client of his/her duties under CDM regulations
- 1.4 Visit Site and carry out preliminary appraisal
- 1.5 Identify Project and Construction Budgets
- 1.6 Develop outline brief
- 1.7 Agree Preliminary timetable

Work Stage 2.0 - Initial Design

- 2.1 Prepare initial design proposal
- 2.2 Provide indicative guidance on cost and timetable

Work Stage 3.0 - To Planning Consent Application

- 3.1 Consult with Local Authorities and Utilities as required
- 3.2 Progress Stage 2.1 design
- 3.3 Prepare application for Planning Permission
- 3.4 Submit application for Planning permission
- 3.5 Advise on procurement methods
- 3.6 Report on timetable, agree revisions

Work Stage 4.0 - To Building Warrant Application

- 4.1 Progress Stage 3.2 design
- 4.2 Co-ordinate work of other consultants
- 4.3 Report on timetable, agree revisions
- 4.4 Prepare Warrant Application
- 4.5 Submit Warrant Application

Preparing to Build

Work Stage 5.0 - Construction Documentation

- 5.1 Advise on and agree form of Building Contract, including Contract Administrator
- 5.2 Prepare drawings, schedules and specifications sufficient for tender
- 5.3 Co-ordinate information from other consultants, specialist designers and contractors
- 5.4 Prepare Description of Work

Work Stage 6.0 - Tender Administration

- 6.1 Compile list of Contractors, if applicable
- 6.2 Assemble tender documentation including Bills of Quantity or Schedules of Rates etc. prepared by others
- 6.3 Issue tenders to contractors for pricing
- 6.4 Take delivery of, and report on tenders
- 6.5 Advise on appointment of contractor
- 6.6 Agree frequency and scope of site inspections

Progressing the Build

Work Stage 7.0 - Contract Administration

- 7.1 Administer the Contract
- 7.2 Attend Progress Meetings on site
- 7.3 Inspect the works as necessary to administer the Contract, review progress and quality in terms of the building contract
- 7.4 Issue instructions to the contractor for variations to the contract when approved by the client
- 7.5 Report on Stages 7.1, 7.2, 7.3 and 7.4
- 7.6 Advise client of when works are practically complete for handover

Work Stage 8.0 - Post Completion Work

- 8.1 Advise Contractor of any defects
- 8.2 Administer the contract to final account

Additional Services

9.0 - Surveys and Inspections

- 9.1 Sites and Building Inspections prior to purchase
- 9.2 Measured Level Surveys and preparation of record drawings

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- 9.3 Dilapidation Surveys and Reports
- 9.4 Environmental, Structural and Condition Surveys
- 9.5 Surveys for Purchasers, Tenant and Owners
- 9.6 Legal record drawings
- 9.7 Special site visits, additional to 6.6

10.0 - Specialist Work

- 10.1 Model Making
- 10.2 Artist Impressions
- 10.3 Computer Graphics and Animations
- 10.4 Interior Design
- 10.5 Landscape Design
- 10.6 Engineering Design
- 10.7 Feasibility Study

11.0 - Project Management

- 11.1 Project Management
- 11.2 Construction Management

12.0 - Cost Advice

- 12.1 Prepare Cost Plans
- 12.2 Prepare Schedules and Bills of Quantity for tendering purposes
- 12.3 Pricing Variations
- 12.4 Negotiating Contracts with Contractors
- 12.5 Preparing Valuations of Works
- 12.6 Reporting on Contractor Claims

13.0 - Principal Designer

- 13.1 Perform the duties of the Principal Designer as set out in the CDM Regulations
- 13.2 Where the Client is a domestic client under the CDM Regulations and where agreed in writing, carry out the Client's duties referred to in regulation 7(1)

14.0 - Special Reports and Negotiations

- 14.1 Neighbour negotiations
- 14.2 Planning application negotiations
- 14.3 Planning Appeals
- 14.4 Building Control negotiations

15.0 - Dispute Resolution

- 15.1 Acting as Expert Witness
- 15.2 Acting as Independent Reporter
- 15.3 Acting as Mediator

16.0 – 3rd Party Certification and Warranties

- 16.1 Providing Collateral Warranties for purchasers, tenants and owners
- 16.2 Issue of Inspection Certificates

17.0 – Other Services to be agreed and detailed in writing

- 17.1
- 17.2
- 17.3
- 17.4
- 17.

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Schedule of Fees						
Architects may charge by one or a combination of three methods – 1. Lump Sum 2. Time Charge 3. Percentage of Construction Cost.						
The projected Total Construction Cost for th	e Project is £	(this may	y vary in terms	of conditions 1.5.2	and 1.5.3)	
	1. Lump Sum	2. Time Charge			3. Percer Constr Cost	
		Rate per hour @	Estimated	Estimated Charge		Estimated Sum
Preparing the Design	£	£	Hours	£	%	£
1.0 Preparation of the Brief						
2.0 Initial design						
3.0 To Planning Consent Application				-		
4.0 To Building Warrant Application						
Preparing to Build						
5.0 Construction Documentation						
6.0 Tender Administration						
Progressing the Build						
7.0 Contract Administration						
8.0 Post Completion Work						
SUB TOTAL						
Additional Architect's Services						
9.0 Surveys and Inspections						
10.0 Specialist Work						
11.0 Project Management						
12.0 Cost Advice						
13.0 Principal Designer						
14.0 Special Reports and Negotiations						
15.0 Dispute Resolution						
16.0 3rd party Certification and Warranties						
17.0 Other Services						
SUB TOTAL						
TOTAL						
Estimated Total Fee ex. VAT						
Estimated Total Fee inc. VAT @ %						
Expenses						Estimate ex VAT
The following expenses to be charged in ac	ddition to the fees	(delete as appropriate	e):			£
Printing, reproduction of drawings, docume	nts, etc			А	s incurred	
Accommodation and meals				Α	s incurred	
Travelling by public transport				Α	s incurred	
Travelling by car Postage, telephone, facsimile charges				@	/ mile	
Models					s incurred	
Maps					s incurred s incurred	
Special Legal Advice					s incurred	
Other					s incurred	
Disbursements				,		
The Architect may, by prior agreement, mak as incurred.	e payment for Fee	s and Services on the	Client's behal	f. These to be reim	bursed	
Planning Application Fees						
Building Control Application Fees						
Other						

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Architect's Service

The timetable of events in the design and construction process is almost always subject to amendment as the project progresses and develops. This is because many of the activities and milestones in the progress of a project are beyond the control of both the architect and the client. The following timetable is therefore subject to revision, as agreed by the parties, as the project progresses.

Preparing the Design	
Work Stage 1.0 - Preparation of the Brief	
Work Stage 2.0 – Initial Design	
Work Stage 3.0 – To Planning Consent Application	ýn
Work Stage 4.0 – To Building Warrant Application	
Preparing to Build	
Work Stage 5.0 - Construction Documentation	
Work Stage 6.0 – Tender Administration	
Progressing the Build	
Work Stage 7.0 – Contract Administration	
Work Stage 8.0 – Post Completion Work	
Other Activities –	
Site Visits and Inspec	tions
Details of site visits and inspections to be undertake	n:
Terms of Payment Accounts for payment of fees, expenses and disburs 1.5.13 and 1.5.14.	sements shall be submitted at the following times. Payment shall be due in accordance with conditions

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Definitions

Appointment

The agreement between the Client and the Architect for the Project.

Architect

The party referred to as Architect in the Agreement.

Rudget

The sum (exclusive of VAT) the Client proposes to spend on the Project includina:

- · professional fees and expenses
- disbursements
- · statutory charges
- · the Construction Budget;

but excluding;

- · site acquisition costs
- the Client's legal and in-house expenses.

CDM Regulations

The Construction (Design and Management) Regulations 2015 (as currently amended) 'client', 'domestic client', 'designer', 'Principal Designer' and 'Principal Contractor' are all as defined in the CDM Regulations and references here to 'regulations' are to regulations of the CDM Regulations.

Client

The party referred to as Client in the Agreement.

Client's Requirements

The objectives which the Client wishes to achieve in the Project including functional requirements, environmental standards, life span, and levels of quality.

Collateral Agreement

An agreement between the Architect and a third party existing in parallel with the agreement between the Architect and the Client. Sometimes known as a collateral warranty or a duty of care agreement.

Construction Budget

The sum, exclusive of VAT, the Client proposes to spend on the construction of the Project.

Contract Documents

The documents forming the building contract between the Client and a Contractor, usually comprising conditions of contract, drawings, specifications and bills of quantities or schedules of works.

Contractor(s)

Any person, firm or corporation or all of them (including Specialists) appointed directly or indirectly by the Client to carry out any works in connection with the Project.

Consultant(s)

Any person firm or corporation or all of them (including Site Staff and Lead Consultant and/or Principal Designer, where not Architect) appointed directly or indirectly by the Client to perform professional services in connection with the Project.

Lead Consultant

The Consultant given the authority and responsibility by the Client to coordinate and integrate the services of the other Consultants.

Other Project Team Members

Contractors and Consultants (excluding the Architect).

Procurement Method

The method by which the building of the Project is to be achieved, determining:

- · the relations between the Client, Consultants and Contractor(s)
- · the method of management, and
- the form of construction contract.

Project

As referred to in the Agreement.

Project Timetable

The periods of time agreed for carrying out the Project incorporating the various activities, their duration and the start and completion dates for these activities. Because many of the Project activities are outwith the control of the Client and the Architect, the Project Timetable shall be periodically revised and agreed as appropriate to the circumstances. The timetable for carrying out the Architect's Services is dependant on the Project Timetable and shall also be periodically, reasonably revised and agreed.

Services

The Services to be provided by the Architect as specified in the Schedule of Services as varied pursuant to this Appointment.

Site

As specified in the Agreement.

Site Staf

Staff appointed by the Client, or the Architect on the Client's behalf, to provide inspection of the Works.

Specialist

A person, firm or corporation other than the Consultants, appointed to provide expertise, skill and care, involving design, in the supply or manufacture of goods, materials or components or in the construction of parts of the Project.

Third Party Rights

Such rights to enforce or otherwise invoke undertakings expressly given by the Architect, in the Appointment or in a document expressly incorporated in the Appointment, to specific third parties identified by name or described in the Appointment or the said incorporated document, but only to the extent that such rights are expressly granted and only to the third parties so identified.

Total Construction Cost

The cost as certified by the Architect of all Works including site works executed under the Architect's direction and control, subject to the following.

The Total Construction Cost shall include:

- the cost of all Works designed by Consultants and co- ordinated by the Architect irrespective of whether such work is carried out under separate building contracts for which the Architect may not be responsible. The Architect shall be informed of the cost of any such contract;
- the actual or estimated cost of any Work executed which is excluded from the contract and which is otherwise designed by the Architect:
- the cost of built-in furniture and equipment. Where the cost of any special equipment is excluded from the Total Construction Cost the Architect may charge additionally for work in connection with such items;
- the cost estimated by the Architect of any material, labour or carriage supplied by a Client who is not the Contractor.

The Total Construction Cost shall exclude:

 the design fees of any Specialists for work on which otherwise Consultants would have been employed. Where such feesare not known the Architect will estimate a reduction from the Total Construction Cost.

Where the Client is the Contractor, a statement of the ascertained gross cost of the works may be used in calculating the Total Construction Cost of the Works. In the absence of such a statement the Architect's own estimate shall be used. In both a statement of the ascertained gross cost and an Architect's estimate there shall be included an allowance for the Contractor's profit and overheads.

Work Stages

Stages into which the process of designing building projects and administering building contracts may be divided as set out in the Schedule of Services.

Works

The works to be carried out by the Contractor as described in the Contract Documents.

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Conditions

PART



Conditions common to all commissions

1.1 Governing law/interpretation

1.1.1 The application of the Appointment shall be governed by the laws of Scotland.

Headings and side notes

- 1.1.2 The headings and side notes to these conditions are for convenience only and do not affect the interpretation of the conditions.
- 1.1.3 Words denoting natural persons include corporations and firms and shall be construed interchangeably in that manner.

1.2 Architect's obligations

Duty of Care

1.2.1 The Architect shall in providing the Services exercise reasonable skill and care in conformity with the normal standards of the Architect's profession.

Architect's Authority

- 1.2.2 The Architect shall act on behalf of the Client in the matters set out or necessarily implied in the Appointment.
- 1.2.3 The Architect shall at those points and/or dates referred to in the Project Timetable obtain the authority of the Client before proceeding with the Services.

Services impracticable

1.2.4 The Architect shall give reasonable notice in writing to the Client of any circumstances which make it impracticable for the Architect to carry out any of the Services in accordance with the Project Timetable.

No alteration to services

1.2.5 Subject to the following the parties may by agreement, express or implied, vary the Services. The Architect shall make no material alteration to or addition to or omission from the Services without the knowledge and consent of the Client except in the case of emergency when the Architect shall inform the Client without delay.

Variations

- 1.2.6 The Architect shall inform the Client on its becoming apparent that there is any material incompatibility between any of the Client's Requirements; or between the Client's Requirements, the Budget and the Project Timetable: or any need to vary any part of them.
- 1.2.7 The Architect shall inform the Client on its becoming apparent that the Services and/or fees and/or any other part of the Appointment and/or information or approvals need to be varied. The Architect shall confirm in writing any agreement reached.

Architect as Designer under the CDM Regulations

1.2.8 The Architect shall comply with the CDM Regulations including, without limitation: (i) regulation 8 (general requirements); (ii) regulations 9 & 10 (designer's duties) and (iii) where Principal Designer, regulation 11 and, to the extent applicable, regulation 12 (health & Safety planning pre and post construction)

1.3 Client's obligations

Client's representative

1.3.1 The Client shall name the person who shall exercise the powers of the Client under the Appointment and through whom all instructions to the Architect shall be given.

Information

- 1.3.2 The Client shall provide to the Architect information reasonably necessary at the outset.
- 1.3.3 The Client shall provide to the Architect such further information as the Architect shall reasonably and necessarily request for the performance of the Services: all such information to be provided free of charge and at such times as shall permit the Architect to comply with the Project Timetable.

- 1.3.4 The Client accepts that the Architect will rely on the accuracy, sufficiency and consistency of the information supplied by the Client.
- 1.3.5 The Client shall advise the Architect of the relative priorities of the Client's Requirements, the Budget and the Project Timetable and shall inform the Architect of any variations to any of them.

Decisions and approvals

1.3.6 The Client shall give such decisions and approvals as necessary for the performance of the Services and at such times as to enable the Architect to comply with the Project Timetable.

Architect does not warrant

1.3.7 Subject always to the provisions of condition 1.2.1, the Client acknowledges that the Architect does not warrant the performance, work or the products of others nor that the Services will be completed in accordance with the Project Timetable.

Client as client under the CDM Regulations

1.3.8 The Client shall comply with the CDM Regulations including, without limitation: (i) regulation 8 (general duties); and (ii) where client, other than a 'domestic client', the client duties set out in Part 2 of the CDM Regulations. Where the Client is a domestic client certain of those duties are to be delegated to other parties in the manner set out in regulation 7.

1.4 Assignation and sub-contracting

Assignation

1.4.1 Neither the Architect nor the Client shall assign the whole or any part of the benefit or in any way transfer the obligation of the Appointment without the consent in writing of the other.

Sub-contracting

1.4.2 The Architect shall not sub-contract any of the Services without the consent of the Client, which consent shall not be unreasonably withheld. The Architect shall confirm such consent in writing.

1.5 Payment

Payment

1.5.1 Payment for the Services shall be calculated, charged and made as set out in the Schedule of Services, Schedule of Fees and Terms of Payment.

Percentage Fees

- .5.2 Where it is stated in the Schedule of Fees that fees and or expenses are payable on a percentage basis, then, unless any other basis has been agreed between the Architect and the Client and confirmed by the Architect to the Client in writing, the fees and/or expenses shall be based on the projected Total Construction Cost of the Works. On the issue of the final certificate under the building contract the fees and/or expenses shall be re-calculated on the actual Total Construction Cost.
- 1.5.3 The following bases shall be used for the calculation of percentage fees based on the Projected Construction Cost until that cost has been ascertained:
 - until tenders are obtained the cost estimate;
 - after tenders have been obtained the lowest acceptable tender;
 - · after the contract is let the contract sum.

Revised rates

1.5.4 Unless otherwise stated in the Schedule of Fees, time rates and mileage rates for vehicles shall be revised annually by the Architect.

Fee variation

1.5.5 Where any change is made to the Architect's Services, the Procurement Method, the Client's Requirements, the Budget, or the Project Timetable, or where the Architect consents to enter into any Collateral Agreement the form or beneficiary of which had not been agreed by the Architect at the date of the Appointment, the fees specified in the Agreement shall be varied.

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Conditions CONTINUED

Vary lump sum

1.5.6 Where fees and/or expenses are specified in the Schedule of Fees to be a lump sum, that lump sum shall be varied by agreement or in accordance with these Conditions of Appointment.

Additional fees

- 1.5.7 Where the Architect is involved in extra work and/or expense for which the Architect is not otherwise remunerated caused by:
 - the Client's variations to completed work or services:
 - · the examination and/or negotiation of notices;
 - · the default, bankruptcy, insolvency or liquidation of a contractor;
 - applications, claims, adjudications and arbitration under a building contract:
 - · delay or any other reason beyond the Architect's control

the Architect shall be entitled to additional fees which, unless otherwise agreed, shall be calculated on a time basis.

1.5.8 Where fees and/or expenses are varied under conditions 1.2.7, 1.5.4, 1.5.5 and/or 1.5.6 or where additional fees are payable under condition 1.5.7, the additional or varied fees and/or expenses shall be stated by the Architect in writing.

Services not completed

- 1.5.9 Where for any reason the Architect provides only part of the Services as specified in the Schedule of Services and Schedule of Fees, the Architect shall be entitled to fees calculated as follows:
 - for completed Services, as described for those Services in the Schedule of Services and Schedule of Fees;
 - for completed Work Stages, as apportioned for those Work Stages in the Schedule of Services and Schedule of Fees;
 - for Services or Work Stages not completed, a fee proportionate to that described or apportioned in the Schedule of Services and Schedule of Fees. Details based on the Architect's estimate of the percentage of completion.

All percentage fees for partial Services should be based on the Architect's current estimate of the total construction cost of the works. Such estimates may be based on an accepted tender or, subject to the following, on the lowest of the unaccepted tenders. Where partial Services are provided in respect of works for which the executed cost is not known and no tender has been accepted, percentage fees should be based either on the Architect's estimated total construction cost or on the most recent cost limit agreed with the Client, whichever is the lower.

Expenses and disbursements

- 1.5.10 The Client shall pay the expenses specified in the Schedule of Fees. Expenses other than those specified shall only be charged with the prior authorisation of the Client.
- 1.5.11 The Client shall reimburse the Architect for any disbursements made on the Client's behalf.

Maintain records

1.5.12 The Architect shall maintain records of time spent on Services performed on a time basis, expenses and disbursements and shall make these available to the Client on reasonable request.

Instalments

1.5.13 All payments due under the Appointment shall be made by instalments specified in the Schedule of Fees, Project Timetable and Terms of Payment. Where no such basis is specified, payments shall be made monthly on the basis of the Architect's estimate of percentage of completion of the Services.

Pavment

1.5.14 Payment of each instalment due in terms of condition 1.5.13 shall become due to the Architect on the date, as stated thereon, of submission of the Architect's account for that instalment to the Client and shall become finally due 28 days thereafter. The Architect's account shall, amongst other things, specify the sum that the Architect considers to be due at the payment due date in respect of the Architect's account for that instalment and the basis upon which that sum is calculated.

Set off

1.5.15 The Client shall not, unreasonably, withhold or reduce, any sum payable to the Architect under the Appointment by reason of claims or alleged claims against the Architect.

Disputed accounts

1.5.16 If any item or part of an item of any account is disputed or subject to question by the Client, and such item or part thereof was included in the due sum notified pursuant to condition 1.5.14, the Client shall, not later than 7 days before the final date for payment of that due sum, issue to the Architect a written notice of intention to pay less than that due sum (the "Notice to Pay Less") provided always that such notice can only reduce that due sum relative to the item or part item of that account which is disputed and the payment by the Client of the remainder of that account shall not be withheld on those grounds. The Notice to Pay Less shall specify the sum that the Client then considers is due and the basis on which that sum is calculated.

Interest on outstanding accounts

1.5.17 Any sums remaining unpaid at the expiry of twenty-eight days from the date of submission of an account shall bear interest thereafter, such interest to be at the rate of 5% per annum above the official bank rate of the Bank of England current at the date that a payment due under this Contract becomes overdue.

Payment on suspension or termination

- 1.5.18 On suspension or termination of the Appointment the Architect shall be entitled to, and shall be paid, fees for all Services provided to that time calculated as partial Services under condition 1.5.9 hereof, and to expenses and disbursements reasonably incurred to that time.
- 1.5.19 During any period of suspension the Architect shall be reimbursed by the Client for expenses, disbursements and other costs reasonably incurred as a result of the suspension.
- 1.5.20 On the resumption of a suspended Service within six months, fees paid prior to resumption shall be regarded solely as payments on account of the total fee.
- 1.5.21 Where the Appointment is suspended or terminated by the Client or suspended or terminated by the Architect on account of a breach of the Appointment by the Client, the Architect shall be paid by the Client for all expenses and other costs necessarily incurred as a result of any suspension and any resumption or termination.

VAT

1.5.22 Fees, expenses and disbursements arising under the Appointment do not include Value Added Tax. Any Value Added Tax chargeable on the Architect's fees and expenses shall be paid by the Client.

1.6 Suspension, resumption and termination

Suspension

- 1.6.1 The Client may suspend the performance of any or all of the Services by giving reasonable notice in writing to the Architect.
- 1.6.2 In the event of the Client being in default of payment of any fees, expenses and/or disbursements, the Architect may, throughout such default, suspend the performance of any or all of the Services on giving, after such payment becomes finally due, seven days notice in writing to the Client stating the ground or grounds for such suspension.

Resumption

1.6.3 If the Architect has not been given instructions to resume any suspended Service within six months from the date of suspension, the Architect shall request in writing such instructions. If written instructions have not been received within twenty-eight days of the date of such request the Architect shall have the right to treat the Appointment as terminated.

Termination

1.6.4 The Appointment may be terminated by either party on the expiry of reasonable notice in writing.

Architect's death or incapacity

1.6.5 Should the Architect through death or incapacity be unable to provide the Services, the Appointment shall thereby be terminated.

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Conditions CONTINUED

Accrued rights

1.6.6 Termination of the Appointment shall be without prejudice to the accrued rights and remedies of either party.

1.7 Copyright

Copyright

1.7.1 Copyright in all documents, drawings and digital files prepared by the Architect and in any work executed from those documents and drawings shall remain the property of the Architect.

1.8 Dispute resolution

Mediation

(The Mediation process is a useful way of resolving disputes by bringing the parties together to find a solution acceptable to both. A solution is not imposed by the mediator. Mediation is normally possible only where both parties agree to engage in the process)

1.8.1 Any dispute or difference between the parties arising out of, or in connection with, this Appointment may be referred to a mediation process conducted by a suitably qualified mediator. The mediator shall be selected from a list maintained by the Royal Incorporation of Architects in Scotland. Where both parties agree to the process, the cost of the service shall be shared equally or otherwise as agreed by the parties.

Arbitration

(Arbitration is a process whereby a legally binding decision is imposed on the parties by a tribunal selected by the parties. It can be used to resolve complex technical disputes. The process can be protracted.)

1.8.2 Subject to the provisions of conditions 1.8.3, any difference or dispute arising out of, or in connection with, the Appointment may be referred to arbitration by a person to be agreed between the parties or, failing agreement within fourteen days after either party has given the other a written request to concur in the appointment of an arbitrator, a person to be nominated at the request of either party by the President, or, where the President is unable to act, the Secretary of the Royal Incorporation of Architects in Scotland ("RIAS") (such request being made in writing to the RIAS and copied to the other party at the same time and such nomination being made and communicated in writing to both parties within seven days of that request), provided that in a difference or dispute arising out of the conditions relating to copyright the arbitrator shall, unless otherwise agreed, be an architect. Such arbitration will be carried out according to the Scottish Arbitration rules set out in Schedule 1 to the Arbitration (Scotland) Act 2010 provided that where, and to the extent that, the provisions of this condition 1.8.2 modify, or are inconsistent with, any of the 'default rules' (as defined in the Arbitration (Scotland) Act 2010) the provisions of this condition shall prevail. In addition the following 'default rules' are disapplied: 10; 18; 22; 30; 36; 41; 43; 55; and 69.

Adjudication

(The Adjudication process is a useful way of resolving disputes quickly. Adjudicators are technically and professionally experienced.)

- 1.8.3 If any dispute or difference between the parties arises out of this Agreement either party may at any time refer such dispute or difference to adjudication and the Scheme as set out in the Schedule Part 1 to the Scheme for Construction Contracts (Scotland) Regulations 1998 (as amended at the time the dispute or difference is referred to adjudication) (the "Scheme") shall apply to such adjudication and the referring party shall request the President, or where the President is unable to act, the Secretary, for the time being, of the Royal Incorporation of Architects in Scotland, as the specified nominating body in accordance with paragraph 2(1)(b) of the Scheme (or its equivalent under the Scheme as subsequently amended hereafter), to select a person to act as adjudicator.
- 1.8.4 Periods of time under conditions 1.15.14, 1.5.16, 1.6.2, and 1.8.3 shall be reckoned in accordance with section 116 of the Housing Grants Construction and Regeneration Act 1996.

- 1.8.5 Nothing herein shall prevent the parties agreeing to settle any difference or dispute arising out of the Appointment without recourse to mediation, adjudication or arbitration.
- 1.8.6 Architects are subject to the disciplinary sanction of Codes of Professional Conduct. Further information and guidance regarding complaints, grievances and disputes may be obtained from the Royal Incorporation of Architects in Scotland, 15 Rutland Square, Edinburgh EH1 2BE. In the event of complaints, disputes or grievances arising, Clients shall notify the Architect as soon as reasonably possible.

1.9 Net Contribution

Net Contribution

1.9.1 The Architect's liability for loss or damage arising in connection with the Appointment shall be limited to such sums as the Architect ought reasonably to pay, having regard to the extent of his responsibility for the same and on the basis that there are deemed to be no exclusions or limitations of liability nor joint or co-insurance provisions between the Client and any of the other Consultants and Contractors and that all other Consultants and Contractors shall be deemed to have provided to the Client contractual undertakings equivalent to the Architect's undertakings hereunder in respect of their works and services for the Project and shall be deemed to have paid to the Client such contributions as may be appropriate having regard to the extent of their responsibility for such loss or damage.

PART 2

Conditions specific to Preparing the Design and Preparing to Build – Work Stages 1 to 6

2.1 Architect's obligations

Architect's authority

2.1.1 The Architect shall, where specified in the Project Timetable, obtain the authority of the Client before initiating any Work Stage and shall confirm that authority in writing.

Procurement Method

2.1.2 The Architect shall advise on the options for the Procurement Method for the Project.

No alteration to design

2.1.3 The Architect shall make no material alteration, addition to or omission from the approved design without the knowledge and consent of the Client and shall confirm such consent in writing.

2.2 Client's obligations

Statutory requirements

2.2.1 The Client shall instruct the making of applications for planning permission and approval under building acts, regulations and other statutory requirements and applications for consents by heritable proprietors and all others having an interest in the Project and shall pay any statutory charges and any fees, expenses and disbursements in respect of such applications.

No warranty of planning permission

- 2.2.2 The Client acknowledges that the Architect does not warrant that planning permission will be granted.
- 2.2.3 The Client shall have informed the Architect prior to the date of the Appointment whether any third party will acquire or is likely to acquire an interest in the whole or any part of the Project.

Collateral Agreements or Third Party Rights

2.2.4 The Client shall not require the Architect to enter into any Collateral Agreement with, or to grant Third Party Rights to, a third party which imposes greater obligations or liabilities on the Architect than does the Appointment.

Procurement Method

2.2.5 The Client shall confirm the Procurement Method for the Project.

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Conditions CONTINUED

2.3 Copyright

- 2.3.1 Notwithstanding the provisions of condition 1.7.1, the Client shall be entitled to reproduce the Architect's design by proceeding to execute the Project provided that:
 - the entitlement applies only to the Site or part of the Site to which the design relates, and
 - · the Architect has completed an initial design or
 - · has provided detail design and production information, and
 - any fees, expenses and disbursements due to the Architect have been paid.

This entitlement shall also apply to the maintenance, repair and/or renewal of the Works.

- 2.3.2 Where the Architect has not completed an initial design, the Client shall not reproduce the design by proceeding to execute the Project without the consent of the Architect, which consent shall not be unreasonably withheld.
- 2.3.5 Where the Services are limited to making and negotiating planning applications, the Client may not reproduce the Architect's design without the Architect's consent, which consent shall not be unreasonably withheld, and payment of any additional fees.
- 2.3.6 The Architect shall not be liable for the consequences of any use of any information or designs prepared by the Architect except for the purposes for which they were provided.

Confidentiality

2.3.7 Neither the Client nor the Architect shall disclose to any other person information identified in writing as confidential unless reasonably necessary for performance of the Services or because of disputes arising out of or in connection with the Appointment or as required by law.

PART

3

Conditions specific to Progressing the Build and Post Completion – Work Stages 7 to 8

3.1 Architect's obligations

Visits to the Works

3.1.1 The Architect shall in providing the Services specified make such visits to the Works as the Architect at the date of the Appointment reasonably expected to be necessary. The Architect shall confirm such expectation in writing.

Variations to visits to the Works

3.1.2 The Architect shall, on its becoming apparent that the expectation of the visits to the Works needs to be varied, inform the Client in writing of his recommendations and any consequential variation in fees.

More frequent visits to the Works

3.1.3 The Architect shall, where the Client requires more frequent visits to the Works than that specified by the Architect in condition 3.1.1, inform the Client of any consequential variation in fees. The Architect shall confirm in writing any agreement reached.

Alteration to design

3.1.4 Except in an emergency the Architect shall make no material alteration or addition to or omission from the approved design during construction without the knowledge and consent of the Client; the Architect shall confirm such consent in writing. In an emergency the Architect may make such alteration, addition or omission without the knowledge and consent of the Client but shall inform the Client without delay and subsequently confirm in writing.

3.2 Client's obligations

Contractor

3.2.1 The Client shall employ Contractors under separate agreements to undertake construction or other works relating to the Project.

Responsibilities of contractor

3.2.2 The Client shall hold such Contractors and not the Architect responsible for the Contractor's management and operational methods and for the proper carrying out and completion of the Works and for health and safety provisions on the Site.

Products and Materials

3.2.3 The Client shall hold the Contractor(s) and not the Architect responsible for the proper installation and incorporation of all products and materials into the Works.

Collateral Agreements or Third Party Rights

3.2.4 The Client shall, where the Architect consents to enter into a Collateral Agreement with, or to grant Third Party Rights to, a third party in respect of the Project, procure that the Contractor(s) is/are equally bound by reference to its/their contractual obligations to the Client.

Instruction

3.2.5 The Architect shall act as 'contract administrator' except where another Consultant is named as such in the Agreement. The Client shall only issue instructions to the Contractor(s) through the contract administrator, and the Client shall not hold the contract administrator responsible for any instructions issued other than through the contract administrator.

3.3 Site Staff

- 3.3.1 The Architect shall recommend the appointment of Site Staff to the Client if in his opinion such appointments are necessary for the more detailed inspection of the carrying out of that part of the Works designed by the Architect.
- 3.3.2 The Architect, where appointing and paying such Site Staff on behalf of the Client, shall confirm in writing to the Client the Site Staff to be appointed by the Client, their disciplines, the expected duration of their employment, their fee, and the method of recovery of payments made by the Architect on behalf of the Client. The Client, where appointing and paying such Site Staff direct shall confirm the same details, except for the payment provisions, in writing to the Architect and shall ensure that provisions covering condition 3.3.3 hereof are included in their appointments.
- 3.3.3 All Site Staff shall be under the direction and control of the Architect.

Responsibilities of Site Staff

3.3.4 The Client shall not hold the Architect responsible for the competence, proper execution and performance of the services provided by the Site Staff

4

Conditions specific to appointment of Consultants and Specialists

4.1 Consultants

Nomination

4.1.1 The Architect shall, where Lead Consultant, identify professional services which require the appointment of Consultants. Such Consultants may be nominated at any time by either the Client or the Architect subject to acceptance by each party.

Appointment

- 4.1.2 The Client shall appoint and pay the nominated consultants.
- 4.1.3 The Consultants to be appointed at the date of the Appointment and the services to be provided by them shall be confirmed in writing by the Lead Consultant to the Client.

Collateral Agreements or Third Party Rights

4.1.4 The Client shall, where the Architect consents to enter into a Collateral Agreement with, or to grant Third Party Rights to, a third party in respect of the Project, procure that all Consultants are equally bound by reference to their contractual obligations to the Client or their employers.

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Conditions CONTINUED

Lead Consultant

- 4.1.5 Except where the Agreement indicates a Lead Consultant other than the Architect, the Client shall appoint and give authority to the Architect as Lead Consultant in relation to all other Consultants however employed. Where another Consultant is the Lead Consultant the Architect shall liaise and co-operate with and acknowledge the role of the Lead Consultant. The Lead Consultant shall be the medium of communication and instruction between the Client and the other Consultants, co-ordinate and integrate into the overall design the services of the other Consultants, and require reports from the other Consultants.
- 4.1.6 The Client shall procure that the provisions of condition 4.1.5 above are incorporated into the conditions of appointment of all Consultants however employed and shall, where the Architect is Lead Consultant, provide a copy of such conditions of appointment to the Architect.

Responsibilities of Consultants

4.1.7 The Client shall hold each of the other Consultants however appointed and not the Architect responsible for the competence and performance of the services to be performed by that Consultant and for the general inspection of the execution of the work designed by that Consultant.

Responsibilities of Architect where Contract Administrator

4.1.8 Nothing in this Part shall affect any responsibility of the Architect, where contract administrator, for issuing instructions under the building contract or for other functions ascribed to the contract administrator under the building contract in relation to work designed by a Consultant.

4.2 Specialists

Nomination

4.2.1 A Specialist who is to be employed directly by the Client or indirectly through the Contractor to design any part of the Works where nominated by either the Architect or the Client is subject to acceptance by each party.

Appointment

4.2.2 The Specialists to be appointed at the date of the Appointment and the services to be provided by them shall be those confirmed in writing by the Lead Consultant to the Client.

Collateral Agreements or Third Party Rights

4.2.3 The Client shall, where the Architect consents to enter into a Collateral Agreement with, or to grant Third Party Rights to, a third party in respect of the Project, procure that all Specialists are equally bound by reference to their contractual obligations to their employers.

Co-ordination and integration

4.2.4 The Client shall give the authority to the Lead Consultant to coordinate and integrate the services of all Specialists into the overall design and the Lead Consultant shall be responsible for such coordination and integration.

Responsibilities of Specialists

4.2.5 The Client shall not hold the Architect responsible for the products and materials supplied by any Specialist, nor for the competence, proper execution and performance of the Services entrusted to any Specialist.

4.3 Consumer Right to Cancel

- 4.3.1 If the Client is a Consumer (as defined in the Consumer Contracts (Information, Cancellation and Additional Charges) Regulations 2013 (the "Regulations")) they have the right to cancel this Agreement without giving any reason by informing the Architect of their decision to cancel this Agreement by a clear statement (the 'cancellation notice") delivered or sent (including by post, fax or electronic mail) to the Architect at any time within the period of 14 days starting from when this Agreement was made (the "Cancellation Period").
- 4.3.2 The cancellation notice is deemed to be served as soon as it is posted or sent to the Architect or in the case of an electronic communication on the day it is sent to the Architect.
- 4.3.3 If the Architect was instructed to perform any services before the Agreement was made or before the end of the Cancellation Period and the instruction or instructions were in writing or other durable medium (as defined in the Regulations), the Architect shall be entitled to any fees and expenses properly due before the Architect receives the cancellation notice.
- 4.3.4 If the Client, as Consumer, wishes to cancel this Agreement they should do so in a durable medium (as defined in the Regulations) such as writing and deliver personally or send (including by electronic mail) the cancellation notice to the Architect. The Client, as Consumer, may, but does not have to, use the detachable cancellation notice as set out below.

Cancell	ation Notice	
То		(the "Architect")
Address		
I/We* hereby give	e notice that I/We* cancel the Agreement with the Architect	
Dated	Ref. No.	(the "Agreement")
Signed		(the "Client")
Date		
Client Name		
Client Address		